

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))

Attorney Docket No.

1573.1002-007

First Named Inventor or  
Application Identifier

Leonardo LaRosa

Express Mail Label No.

EL 762341454 US

Title of  
Invention

CONTAINER FOR STORAGE AND/OR SHIPMENT OF LIVE CRUSTACEANS

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 18 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to microfiche Appendix
  - Background of the Invention
  - Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 15 ]  
☒ Formal      ☐ Informal  
☒ Fig. of the Drawings for Publication      [ 1 ]
4. ☒ Oath or Declaration/POA [Total Pages [ 2 ]  
 a. ☐ Newly executed (original or copy)  
 b. ☒ Copy from a prior application (37 C.F.R. 1.63(d))  
 (for continuation/divisional with Box 18 completed)  
 [NOTE Box 5 below]  
 i. ☐ DELETION OF INVENTOR(S)  
 Signed statement attached deleting  
 inventor(s) named in the prior  
 application, see 37 C.F.R. 1.63(d)(2)  
 and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked)  
 The entire disclosure of the prior application, from which a  
 copy of the oath or declaration is supplied under Box 4b, is  
 considered as being part of the disclosure of the accompanying  
 application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)  
[    ] Pages
  - c. ☐ Statement verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS


8. ☐ Assignment Papers (cover sheet & documents)  
☒ Assignee -  
 Rose Seafood Industries, Inc., Woburn, Massachusetts
9. ☐ 37 C.F.R. 3.73(b) Statement      ☐ Power of Attorney  
 (when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure      ☐ Copies of IDS  
 Statement (IDS)/PTO-1449      Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)
14. ☐ Small Entity      ☐ Statement filed in prior application,  
 Statement(s)      status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)
16. ☐ Nonpublication Request (check parent application)
17. ☒ Other:      Remarks

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☒ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)      of prior application No.: 09/373,327  
 Prior application information:      Examiner: R. Price      Group Art Unit: 3643

### 19. CORRESPONDENCE ADDRESS

NAME	David E. Brook, Esq.				
	HAMILTON, BROOK, SMITH & REYNOLDS, P.C.				
ADDRESS	Two Militia Drive				
CITY	Lexington	STATE	MA	ZIP CODE	02421-4799
COUNTRY	USA	TELEPHONE	(781) 861-6240	FAX	(781) 861-9540

Signature		Date	April 30, 2001
Submitted by Typed or Printed Name	David E. Brook	Reg. Number	22,592

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

## FEE TRANSMITTAL FOR PATENT APPLICATIONS

Attorney Docket Number

1573.1002-007

Application Number

Cont. of 09/373,327

First Named Inventor

Leonardo LaRosa

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c) or (j))	5	- 20* =	0	x \$ 18 =	\$ 0
INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	1	- 3** =	0	x \$ 80 =	\$ 0
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 270 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 710
				Total of above Calculations =	\$ 710
				Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =	\$
				TOTAL =	\$ 710
				Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =	\$
				Petition for Extension of Time Fee (37 C.F.R. 1.17) =	\$
				Assignment Recordation Fee = (only when filed with application)	\$
				TOTAL =	\$ 710

\* Reissue claims in excess of 20 and over original patent  
 \*\* Reissue independent claims over original patent

### 1. Small entity status:

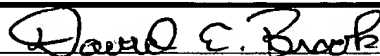
- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☒ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$ 710.00 ☐ Please charge \$[ ] to Deposit Account No. 08-0380.

4. ☐ Other: \_\_\_\_\_

Signature



Date

April 30, 2001

 Submitted by  
Typed or Printed Name

David E. Brook

Reg. Number

22,592

# HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

<b>FEE TRANSMITTAL FOR PATENT APPLICATIONS</b>	Attorney Docket Number	1573.1002-007		
	Application Number	Cont. of 09/373,327		
	First Named Inventor	Leonardo LaRosa		

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TOTAL CLAIMS (37 CFR 1.16(c) or (j))  INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))  MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))  BASIC FEE (37 CFR 1.16(a) or (h))  Total of above Calculations =  Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =  TOTAL =  Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =  Petition for Extension of Time Fee (37 C.F.R. 1.17) =  Assignment Recordation Fee = (only when filed with application)  TOTAL =	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	5 - 20* =	0	x \$ 18 =	\$ 0
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	1 - 3** =	0	x \$ 80 =	\$ 0
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$
	BASIC FEE (37 CFR 1.16(a) or (h))				\$ 710
	Total of above Calculations =				\$ 710
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
	TOTAL =				\$ 710
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	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$
	Assignment Recordation Fee = (only when filed with application)				\$
* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent					TOTAL = \$ 710

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3. ☒ A check is enclosed for \$ 710.00      ☐ Please charge \$[    ] to Deposit Account No. 08-0380.
  
4. ☐ Other: \_\_\_\_\_

Signature		Date	April 30, 2001
Submitted by Typed or Printed Name	David E. Brook	Reg. Number	22,592

DEB/jks  
04/30/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Leonardo LaRosa

Continuation Application of

Application No.: 09/373,327

Filed: August 12, 1999

For: CONTAINER FOR STORAGE AND/OR SHIPMENT OF LIVE CRUSTACEANS

Date: April 30, 2001

EXPRESS MAIL LABEL NO. EL 762341454 US

REMARKS

Box PATENT APPLICATION

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

The above-captioned application is a continuation of application number 09/373,327, filed on August 12, 1999 to which priority is claimed under 35 U.S.C. §120.

The related applications paragraph has been revised to include the parent application.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By David E. Brook

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09/373,327-043001